A Transformation-Based Implementation of Lightweight Nested Functions

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The SC language system has been developed to provide a transformation-based language extension scheme for SC languages (extended/plain C languages with an S-expression based syntax). Using this system, many flexible extensions to the C language can be implemented by transformation rules over S-expressions at low cost mainly because of the pre-existing Common Lisp capabilities for manipulating S-expressions. This paper presents the LW-SC (LightWeight-SC) language as an important application of this system, which features nested functions (i.e., a function defined inside another function). Without returning from a function, the function can manipulate its caller’s local variables (or local variables of its indirect callers) by indirectly calling a nested function of its (indirect) caller. Thus, many high-level services with “stack walk” can be easily and elegantly implemented by using LW-SC as an intermediate language. Moreover, such services can be efficiently implemented because we design and implement LW-SC to provide “lightweight” nested functions by aggressively reducing the costs of creating and maintaining nested functions. The GNU C compiler also provides nested functions as an extension to C, but our sophisticated translator to standard C is more portable and efficient for occasional “stack walk”.

1. Introduction

The C language is often indispensable for developing practical systems. Furthermore, extended C languages are sometimes suitable for elegant and efficient development. We can implement language extension by modifying a C compiler, but sometimes we can do it by translating an extended C program into C. We have developed the SC language system8,10) to help such transformation-based language extensions. SC languages are extended/plain C languages with an S-expression based syntax and the extensions are implemented by transformation rules over S-expressions. Thus we can reduce implementation costs mainly because we can easily manipulate S-expressions using Lisp.

The fact that C has low-level operations (e.g., pointer operations) enables us to implement many flexible extensions using the SC language system. But without taking “memory” addresses, C lacks an ability to access variables sleeping in the execution stack, which is required to implement high-level services with “stack walk” such as capturing a stack state for check-pointing and scanning roots for copying GC (Garbage Collection).

A possible solution to this problem is to support nested functions. A nested function is a function defined inside another function. Without returning from a function, the function can manipulate its caller’s local variables (or local variables of its indirect callers) sleeping in the execution stack by indirectly calling a nested function of its (indirect) caller.

This paper presents the implementation of an extended SC language, named LW-SC (LightWeight SC), which features nested functions. Many high-level services with “stack walk” mentioned above can be easily and elegantly implemented by using LW-SC as an intermediate language. Moreover, such services can be efficiently implemented because we design and implement LW-SC to provide “lightweight” nested functions by aggressively reducing the costs of creating and maintaining nested functions. Though the GNU C compiler15) (GCC) also provides nested functions as an extension to C, our sophisticated translator to standard C is more portable and efficient for occasional “stack walk”.

Note that, though this paper presents an implementation using the SC language system, our technique is not limited to it.

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‡ We have previously reported the implementation of LW-SC as an example of a language extension using the SC language system.10) This paper discusses further details about LW-SC itself.
2. The SC Language System

This section explains the SC language system for giving the specification and an implementation of LW-SC. More details are available in our past paper.8,10)

2.1 Overview

The SC language system, implemented in Common Lisp, deals with the following S-expression-based languages:
- SC-0, the base SC language, and
- extended SC languages, and consists of the following three kinds of modules:
  - The SC preprocessor — includes SC files and handles macro definitions and expansions,
  - The SC translator — interprets transformation rules for translating SC code into another SC, and
  - The SC compiler — compiles SC-0 code into C.

Fig. 1 shows code translation phases in the SC language system. Extended SC code is translated into SC-0 by the SC translators, then translated into C by the SC compiler. Before each translation phase with a transformation rule-set is applied, preprocessing by the SC preprocessor is performed. Extension implementers can develop a new translation phase simply by writing new transformation rules.

2.2 The SC Preprocessor

The SC preprocessor handles the following SC preprocessing directives to transform SC programs:
- (%include file-name) corresponds to an #include directive in C. The file file-name is included.
- (%defmacro macro-name lambda-list S-expression₁ · · · S-expressionₙ) evaluated as a defmacro form of Common Lisp to define an SC macro. After the definition, every list in the form of (macro-name · · ·) is replaced with the result of the application of Common Lisp’s macroexpand-1 function to the list. The algorithm to expand nested macro applications complies with the standard C specification.
- (%undef macro-name) undefines the specified macro defined by %defmacros or %defconstants.
- (%ifdef symbol list₁ list₂) (%ifndef symbol list₁ list₂) If the macro specified by symbol is defined, list₁ is spliced there. Otherwise list₂ is spliced.
- (%if S-expression list₁ list₂) S-expression is macro-expanded, then the result is evaluated by Common Lisp. If the return value eqs nil or 0, list₂ is spliced there. Otherwise list₁ is spliced.
- (%error string) interrupts the compilation with an error message string.
- (%cinclude file-name) file-name specifies a C header file. The C code is compiled into SC-0 and the result is spliced there. The SC programmers can use library functions and most of macros such as printf, NULL declared/#defined in C header files.

\* In some cases such a translation is not obvious. In particular, it is sometimes impossible to translate #define macro definitions into %defmacro or
2.3 The SC Translator and Transformation Rules

A transformation rule for the SC translator is given by the syntax:

\[(\text{function-name } \text{pattern } \text{parm}_2 \cdots \text{parm}_n) \rightarrow \text{expression}\]

where a function \text{function-name} is defined as an usual Lisp function. When the function is called, the first argument is tested whether it matches to \text{pattern}. If matched, \text{expression} is evaluated by the Common Lisp system, then its value is returned as the result of the function call. The parameters \text{parm}_2 \cdots \text{parm}_n, if any, are treated as usual arguments.

A list of transformation rules may include two or more rules with the same function name. In these cases, the first argument is tested whether it matches to each \text{pattern} in written order, and the result of the function call is the value of \text{expression} if matched.

It is permitted to abbreviate

\[(\text{function-name } \text{pattern}_1 \text{parm}_2 \cdots \text{parm}_n) \rightarrow \text{expression} \]
\[\ldots\]
\[(\text{function-name } \text{pattern}_m \text{parm}_2 \cdots \text{parm}_n) \rightarrow \text{expression}\]

(all the expressions are identical and only patterns are different from each other) to

\[(\text{function-name } \text{pattern}_1 \text{parm}_2 \cdots \text{parm}_n) \rightarrow \text{expression} \]
\[\ldots\]
\[(\text{function-name } \text{pattern}_m \text{parm}_2 \cdots \text{parm}_n) \rightarrow \text{expression} \]

The \text{pattern} is an S-expression consisted of one of the following elements:

1. \text{symbol} matches a symbol that is eq to \text{symbol}.
2. ,\text{symbol} matches any S-expression.
3. ,\text{symbol} matches any list of elements longer than 0.
4. ,\text{symbol}[\text{function-name}] matches an element if the evaluation result of \text{funcall #\text{function-name} element} is non-nil.
5. ,\text{symbol}[\text{function-name}] matches list (longer than 0) if the evaluation result of \text{every #\text{function-name} list} is non-nil.

The function \text{function-name} can be what is defined as a list of transformation rules or an usual Common Lisp function (a built-in function or what is defined separately from transformation rules).

In evaluating \text{expression}, the special variable \text{x} is bound to the whole matched S-expression and, in the cases except (1), \text{symbol} is bound to the matched part in the S-expression.

An example of such a function definition can be given as follows:

\[
\begin{align*}
\text{(EX (,a[numberp] ,b[numberp]))} & \rightarrow '((,a ,b ,(+ a b)) \\
\text{(EX (,a ,b))} & \rightarrow '((,a ,b ,a ,b) \\
\text{(EX (,a ,b ,@rem))} & \rightarrow \text{rem} \\
\text{(EX ,otherwise)} & \rightarrow '\text{(error)}
\end{align*}
\]

The application of the function \text{EX} can be exemplified as follows:

\[
\begin{align*}
\text{(EX '(3 8))} & \rightarrow (3 8 11) \\
\text{(EX '(x 8))} & \rightarrow (x 8 x 8) \\
\text{(EX 8)} & \rightarrow (\text{error}) \\
\text{(EX '(3))} & \rightarrow (\text{error}) \\
\text{(EX '(x y z))} & \rightarrow (z)
\end{align*}
\]

Each set of transformation rules defines one or more (in most cases) function(s). A piece of extended SC code is passed to one of the functions, which generates transformed code as the result.

Internally, transformation rules for a function are compiled into an usual Common Lisp function definition (defun). The output can be loaded by the load function, which enables the programmers to easily test a part of transformation rule-sets in an interactive environment.

2.4 The SC Compiler and the SC-0 Language

We designed the SC-0 language as the final target language for translation by transforma-

\[
\text{%defconstant. We discussed this problem before.}^{9}
\]

\[
\text{\footnotesize In consideration of symmetry between expressions and patterns, it is more pertinent to describe '}(,a[numberp] ,b[numberp]) \text{ with a backquote. However, this notation rule leads inconvenience that programmers have to put backquotes before most of patterns. We preferred shorter descriptions and adopt the notation without backquotes.}
\]
(def (sum a n) (fn int (ptr int) int)
  (def s int 0)
  (def i int 0)
  (do-while 1
    (if (>= i n) (break))
    (+= s (aref a (inc i))))
  (return s))

Fig. 2 An SC-0 program.

int sum (int* a, int n) {
  int s=0;
  int i=0;
  do{
    if ( i >= n ) break;
    s += a[i++];
  } while(1);
  return s;
}

Fig. 3 C program equivalent to Fig. 2.

Fig. 4 An LW-SC program.

int sum (int* a, int n) {
  int s=0;
  int i=0;
  do{
    if ( i >= n ) break;
    s += a[i++];
  } while(1);
  return s;
}

Fig. 3 C program equivalent to Fig. 2.

(1) Lightweight type-expression-list is added to the syntax for type-expression

(2) Trampolines are code fragments generated on the stack at runtime to indirectly enter the nested function with a necessary environment. The cost of runtime code generation is high, and for some processors like SPARC, it is necessary to flush some instruction caches for the runtime-generated trampoline code.

except some features such as -> operators, for constructs, and while constructs. These are implemented as language extensions to SC-0 using the SC language system itself.

4. GCC’s Implementation of Nested Functions

GCC also features nested functions and the specification of nested functions of LW-SC is almost the same as the one of GCC. As well as GCC (but differently from closure objects in modern languages such as Lisp and ML), nested functions of LW-SC are valid only when the owner blocks are alive. But unlike GCC, pointers to nested functions are not compatible with ones to top-level functions. However, such limitations are insignificant for the purpose of implementing most high-level services with “stack walk” mentioned in Section 1.

GCC’s implementation of nested functions causes high maintenance/creation costs for the following reasons:

• In creating nested functions, there is the cost for initializing. To initialize a nested function, GCC implements taking the address of it using a technique called trampolines. Trampolines are code fragments generated on the stack at runtime to indirectly enter the nested function with a necessary environment. The cost of runtime code generation is high, and for some processors like SPARC, it is necessary to flush some instruction caches for the runtime-generated trampoline code.

GCC’s implementation of nested functions
• Local variables and parameters of a function generally may be assigned to registers if the function has no nested function. But an owner function of GCC’s nested functions must keep the values of these variables in the stack for the nested functions to access them usually via a static chain. Thus, the owner function must perform memory operations to access these variables, which means that the cost of maintaining nested functions is high.

LW-SC overcomes the former problem by translating the nested function to a lazily-initialized pair (on the explicit stack) of the ordinary function pointer and the frame pointer, and the latter by saving the local variables to the “explicit stack” lazily (only on calls to nested functions), as is shown in the following section.

5. Implementation of LW-SC

We implemented LW-SC described above by using the SC language system, that is, by writing transformation rules for translation into SC-0, which is finally translated into C.

5.1 Basic Ideas

The basic ideas to implement nested functions by translation are summarized as follows:

• After transformation, all definitions of nested functions are moved to be top-level definitions.
• To enable the nested functions to access local variables of their owner functions, an explicit stack is employed in C other than the (implicit) execution stack for C. The explicit stack mirrors values of local variables in the execution stack, and is referred to when local variables of the owner functions are accessed.
• To reduce costs of creating and maintaining nested functions, operations to fix inconsistency between two stacks are delayed until nested functions are actually invoked.

Function calls/returns and function definitions in LW-SC should be appropriately transformed based on these ideas.

5.2 Transformation

LW-SC programs are translated in the following way to realize the ideas described in Section 5.1.

(a) Each generated C program employs an explicit stack mentioned above on memory. This shows a logical execution stack, which manages local variables, callee frame pointers, arguments, return values of nested functions (of LW-SC) and return addresses.

(b) Each function call to an ordinary top-level function in LW-SC is transformed to the same function call in C, except that a special argument is added which saves the stack pointer to the explicit stack. The callee first initializes its frame pointer with the stack pointer, moves the stack pointer by its frame size, then executes its body.

(c) Each nested function definition in LW-SC is moved to the top-level in C. Instead, a value of a structure type, which contains the pointer to the moved nested function and the frame pointer of the owner function, is stored on the explicit stack. Note that initialization of the structure is delayed until nested functions are invoked to reduce costs of creating nested functions.

(d) Each function call to a nested function in LW-SC is translated into the following steps.
   1. Push arguments passed to the nested function and the pointer to the structure mentioned above in (c) to the explicit stack.
   2. Save the values of all local variables and parameters, and an integer corresponding to the current execution point (return address) into the explicit stack, then return from the function.
   3. Iterate Step 2 until control is returned to main. The values of local variables and parameters of main are also stored to the explicit stack.
   4. Referring to the structure which is pointed to by the pointer pushed at Step 1 (the one in (c)), call the nested function whose definition has been moved to the top-level in C. The callee first obtains its arguments by popping the values pushed at Step 1, then executes its body.
   5. Before returning from the nested function, push the return value to the explicit stack.
   6. Reconstruct the execution stack by restoring the local variables, the parameters, and the execution points, with the values saved in the explicit stack at Step 3 (the values may be changed during the call to the nested function), to return to (resume) the caller of the nested function.
Executing main

Executing foo

Just before g1

1. 2., 3. 4. (Start g1)

5. 6. 7. If necessary, get the return value of the nested function pushed at Step 5 by popping the explicit stack.

Note that a callee (a nested function) can access the local variables of its owner functions through the frame pointers contained in the structure that have been saved at Step 1.

For example, Fig. 5 shows the state transition of the two stacks\(^\text{5}\), in the case of Fig. 4, from the beginning of the execution until the end of the first indirect call to a nested function g1 (Each number in the figure corresponds to the step of the nested function call described in (d)). Notice that the correct values of the local variables are saved in the explicit stack during the execution of the nested function and otherwise in the C stack.

5.3 Transformation Rules

To implement the transformation described above, we wrote transformation rules. The entire transformation is divided into the following four phases (rule-sets) for simplicity and reusability of each phase.

\(^5\) “The C stack” here just states the set of local variables and parameters, whose values are stored not only in the stack memory but also in registers.
(1) **The type rule-set:** adds type information to all the expressions of an input program.

(2) **The temp rule-set:** transforms an input program in such a way that no function call appears as a subexpression (except as a right hand side of an assignment).

(3) **The lightweight rule-set:** performs the transformation described in Section 5.2.

(4) **The untype rule-set:** removes the type information added by the type rule-set from expressions to generate correct SC-0 code.

The following subsections present the details of these transformation rule-sets.

### 5.3.1 The type rule-set

Transformation by the temp rule-set and the lightweight rule-set needs type information of all expressions. The type rule-set adds such information. More concretely, it transforms each expression into (the type-expression expression).

Fig. 6 shows the (abbreviated) transformation rule-set. $T_0$ is applied to input program (e.g., in Fig. 7) to get the transformed program (e.g., in Fig. 8). $T_1$ receives declarations and renews the dynamic variables which save the information about defined variables, structures, etc. $T_p$ actually transforms expressions referring to the dynamic variables.

### 5.3.2 The temp rule-set

A function call appearing as a subexpression such as $g(x)$ in $f(g(x))$ makes it difficult to add some operations just before/after the function call. The temp rule-set makes such function calls not appear.

Because some temporary variables are needed for the transformation, the definitions of those are inserted at the head of the function body. For example, a program in Fig. 10 is transformed to the program in Fig. 11 using this rule-set.

Fig. 9 shows the (abbreviated) temp rule-set. The actual transformation is performed by $T_{pe}$, which returns a 3-tuple of

- a list of the variable definitions to be inserted at the head of the current function,
- a list of the assignments to be inserted just before the expression, and
- an expression with which the current expression should be replaced.

$T_p$ and $T_{p2}$ combine the tuples appropriately and finally $T_{p0}$ returns the transformed code.

### 5.3.3 The lightweight rule-set

Now the transformation described in Section

```
(Tp0 (,_declaration-list )
  -> (progn
    (let (*str-alist* v-alist* lastv-alist*)
      (mapcar #'T1 declaration-list)))
    (T1 (,scs[SC-SPEC] ,id[ID] ,texp ,@init))
  -> (progn
    (push (cons (remove-type-qualifier texp))
      *v-alist*)
    "((scs .id ,texp ,@mapcar #'T1 init))")
(Tp1 (,scs[SC-SPEC] ,id-list[ID])
  (fn ,@texp-list ,@body))
  -> (let* (,(texp-list2)
      (mapcar #rmv-typequalifier texp-list))
      (*v-alist* (cons (cons (first id-list)
      '(*v-alist*))
      (new-body nil)))
    (let ((b-list
cmd-list (cdr id-list)
      (cdr texp-list2)))
      (setq new-body
      (let(*v-alist* (append b-list *v-alist*)
      '(*v-alist* *v-alist*))
      (mapcar #'Tpb body)))
    '((scs ,@id-list)
      (fn ,@texp-list),@new-body))
    ...
    (Tp1 ,otherwise)
  -> (error "syntax error")
    ))) body ;;;;;
    (Tbp (do-while ,exp ,@body))
  -> (switch ,(Tpe exp)
      @(let (*v-alist* *v-alist*)
      (mapcar #'Tpb body)))
    ...
    (Tp1 ,otherwise)
  -> (let ((expression-stat (Tpe otherwise)))
      (if (eq '$not-expression expression-stat)
      (Tpe otherwise)
      (expression-stat))
    ))) expression ;;;;;
    (Tpe ,@id[ID])
  -> (the ,(assoc-vartype id) ,id)
... (Tpe (ptr ,exp))
  -> (let ((exp-wuth-type (Tpe exp)))
      (the (ptr ,exp-wuth-type))
      (ptr ,exp-wuth-type)))
(Tpe (aref ,exp))
  -> (let ((exp-wuth-type (Tpe exp)))
      (exp-type (cadr exp-wuth-type))
      (the (exp-type (cadr exp-wuth-type)))
      'the ,(aref-type exp-type)
      (aref ,exp-wuth-type))
    ))) exp-expression ;;;;;
    (Tpe ,@arg-list)
  -> (the ,(assoc-vartype exp-type) ,arg-list)
... (Tpe (fexp[EXPRESSION] ,@arg-list))
  -> (let ((fexp-wuth-type (Tpe fexp))
      (exp-type (cadr fexp-wuth-type))
      (type-fn (cadr fexp-wuth-type))
      'the ,(cadr type-fn)
      (call (the ,type-fn)
      ,(cadr exp-wuth-type)
      ,(arg-list))
    ))) body ;;;;;
```

Fig. 6 The type rule-set (abbreviated).
\begin{verbatim}
(def (g x) (fn int int)
  (return (* x x)))
(def (f x) (fn double double)
  (return (+ x x)))
(def (h x) (fn char double)
  (return (f (g x))))
\end{verbatim}

Fig. 7 An example for the \textit{type} rule-set (before transformation).

\begin{verbatim}
(def (g x) (fn int int)
  (return (the int (* (the int x) (the int x)))))
(def (f x) (fn double double)
  (return (the double (+ (the double x) (the double x)))))
(def (h x) (fn char double)
  (return (the double (call (the (fn double double) f)
              (the int (call (the (fn int int) g)
                   (the double x)))))))
\end{verbatim}

Fig. 8 An example for the \textit{type} rule-set (after transformation).

5.2 is realized by the \textit{lightweight} rule-set. Fig. 12 shows the (abbreviated) \textit{lightweight} rule-set which is related to the transformation of “ordinary function” calls and “nested function” calls. \textit{Esp} appearing in the code is a special parameter which is added to each function, which acts as the (explicit) frame pointer of the function. \textit{Lwe-xfp} transforms references to local variables into references to the explicit stack.

“Ordinary function” calls and “nested function” calls can be statically distinguished with the functions’ types because ordinary function types are incompatible with lightweight nested function types.

The transformation of each operation is detailed as follows (the rules unrelated to function calls are omitted in the figure):

\textbf{Calling ordinary functions:} The function call is performed as a part of the conditional expression of the \textit{while} statement, where the stack pointer is passed to the callee as an additional first argument. If the callee procedure normally finished, the condition becomes false and the body of \textit{while} loop is not executed. Otherwise, if the callee returned for a “nested function” call, the condition becomes true. In the body of the \textit{while} loop, the values of local variables are saved to the explicit stack, an integer that corresponds to the
Due to the temp rule-set, a function call expression must be appeared in either of the following form:

* as a statement expression:

* (= variable function-call-expression)

* (* function-call-expression).

"Ordinary function" call:

(L (the ,texp0 (= (the ,texp1 ,id) (the ,texp (call (the (fn ,@texp-list) ,exp-f) ,@exp-list)))))

(L (the ,texp (call (the (fn ,@texp-list) ,exp-f) ,@exp-list))))

-> (let (...) (list nil decl-list)
  (cons '(= new-esp esp) prev-list)
  'while '(and (= (= ,Lwe-xfp '(the ,texp1 ,id))
              (call ,exp new-esp ,@cdr tmpid-list)))
  (special ,texp0)
  (finfo-label-list *current-func*))
  (= (* (fref efp -> tmp-esp) (mref-t (ptr char) esp)))
  ;; Save the values of local variables to the frame.
  ,@frame-save 'current-func*)
  ;; Save the current execution point.
  (= (fref efp -> call-id)
     (length (finfo-label-list *current-func*))
     ,@label-call-id)
  ;; Return from the current function.
  (label ,@caar (push (cons (generate-id "L_call" *used-id-list*) nil)
                       (finfo-label-list *current-func*))
     nil)
  ;; Restore local variables from the explicit stack.
  ,@frame-resume 'current-func*)
  (= new-esp (+ esp 1))))

"Nested function" call:

(L (the ,texp0 (= (the ,texp1 ,id) (the ,texp (call (the (lightweight ,@texp-list) ,exp-f) ,@exp-list)))))

(L (the ,texp (call (the (lightweight ,@texp-list) ,exp-f) ,@exp-list))))

-> (let (...) (list '() fp-decl '())
  (begin
   (= argp (aligned-add esp (sizeof (ptr char))))
   (push the arguments passed to the nested function)
   ,@mapcar (compose #'(lambda (x) 'push-arg ,second x ,third x argp))
   #'Lwe-xfp)
  (reverse exp-list))
  ;; Push the structure object that corresponds to the frame of the nested function to the explicit stack.
  (= (fref efp -> tmp-esp) argp)
  (= (fref efp -> call-id)
     (length (finfo-label-list *current-func*))
     ,@label-call-id)
  ;; Save the current execution point.
  (= (fref efp -> argp) argp)
  (= (fref efp -> tmp-esp) argp)
  ;; Return from the current function in main, call the nested function here instead of the following steps.
  (= make-suspend-return 'current-func*)
  ;; Continue the execution from here after the function call finishes.
  (label ,@caar (push (cons (generate-id "L_call" *used-id-list*) nil)
                       (finfo-label-list *current-func*))
     nil)
  ;; Restore local variables from the explicit stack.
  ,@frame-resume 'current-func*)
  (= new-esp (+ esp 1)))))

Calling Nested functions: The arguments passed to the nested function and the closure structure (contains the nested function pointer and the frame pointer of its owner function) are pushed to the explicit stack.

Fig. 12 The lightweight rule-set (abbreviated).
(def (g x) (fn int int)
  (return
    (+ (the int
         (= (the int x) (the int 3)))
      (call (the (fn int int) g)
            (the int x))))))

Fig. 10 An example for the temp rule-set (before transformation).

(def (g x) (fn int int)
  (def tmp1 int)
  (def tmp2 int)
  (the int
    (= (the int tmp1)
        (= (the int x) (the int 3))))
  (the int
    (= (the int tmp2)
        (call (the (fn int int) g)
             (the int x))))
  (return
    (+ (the int tmp1) (the int tmp2))))

Fig. 11 An example for the temp rule-set (after transformation).

Then, like an “ordinary function” call, the values of local variables and the executing point are saved, the current function exits, and the execution point is restored by goto after the procedures for calling the nested function. Then the values of local variables are restored and the return value of the nested function is taken from the top of the explicit stack, if exists.

Returning from functions: Returns from ordinary function need no transformation. On the other hand, returns from nested functions must be transformed to push the return value to the explicit stack, and just to return 0 to indicate that the execution of the function is normally finished.

Function definitions: The following steps are added before the functions’ body:

- initializing the frame pointer of the explicit stack (efp) and the stack pointer (esp),
- judging whether reconstruction of the execution stack is required or not and, if required, executing goto to the label corresponding to (fref efp -> call-id), and
- popping parameters from the explicit stack, in the case of nested functions.

The transformation also involves adding the parameter esp that receives the explicit stack pointer, adding some local variable definitions, and adding the structure definition that represents the function’s frame in the explicit stack and is referred to by efp.

5.3.4 The untype rule-set

The output code transformed by the lightweight rule-set is not valid SC-0 code because it contains type information. The untype rule-set removes such information and generate valid SC-0 code. The rule-set is very simple; only needs to search (the . . .) forms recursively and to remove the type information. Fig. 13 shows the entire untype rule-set.

As an example of the total translation, Appendix A.1 shows the entire SC-0 code generated from the LW-SC program in Fig. 4.

6. Evaluation

6.1 Creation and Maintenance Cost

To measure costs of creating and maintaining nested functions, we employed the following programs with nested functions for several high-level services and compared them with the corresponding plain C programs:

- BinTree (copying GC) creates a binary search tree with 200,000 nodes, with a copying-collected heap (Fig. 14).
- Bin2List (copying GC) converts a binary tree with 500,000 nodes into a linear list, with a copying-collected heap (Fig. 15).
- fib(34) (check-pointing) calculates the 34th Fibonacci number recursively, with a capability of capturing a stack state for check-pointing (Fig. 16).
- nqueens(13) (load balancing) solves the N-queens problem (N=13) on a load-balancing framework based on lazy partitioning of sequential programs.21,22)

Note that nested functions are never invoked, that is, garbage collection, check-pointing and task creation do not occur, in these measurements because we measured the costs of creating and maintaining nested functions.

We measured the performance on 1.05GHz
Table 1: Performance measurements (for the creation and maintenance cost).

<table>
<thead>
<tr>
<th></th>
<th>Elapsed Time in seconds (relative time to plain C)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>C</td>
</tr>
<tr>
<td>BinTree copying</td>
<td>S: 0.180 (1.00)</td>
</tr>
<tr>
<td></td>
<td>P: 0.152 (1.01)</td>
</tr>
<tr>
<td>Bin2List copying</td>
<td>S: 0.292 (1.00)</td>
</tr>
<tr>
<td></td>
<td>P: 0.144 (1.01)</td>
</tr>
<tr>
<td>fib(34) copying</td>
<td>S: 0.220 (1.00)</td>
</tr>
<tr>
<td></td>
<td>P: 0.144 (1.01)</td>
</tr>
<tr>
<td>nqueens(13)</td>
<td>S: 0.478 (1.00)</td>
</tr>
<tr>
<td></td>
<td>P: 0.319 (1.00)</td>
</tr>
</tbody>
</table>

(def (sht (ptr (lightweight void void)))
 (def (randinsert scan0 this n)
   (fn void sht (ptr Bintree) int)
   (decl i int)
   (decl k int)
   (decl seed (array unsigned-short 3))
   (def (scan1) (lightweight void void)
     (= this (move this))
     (scan0))
   (= (aref seed 0) 3)
   (= (aref seed 1) 4)
   (= (aref seed 2) 5)
   (for ((= i 0) (< i n) (inc i))
     (= k (nrand48 seed))
     (insert scan1 this k))")

Fig. 14: The LW-SC program for BinTree.

UltraSPARC-III and 3GHz Pentium 4 using GCC with -O2 optimizers. Table 1 summarizes the results of performance measurements, where “C” means the plain C program without high-level services, and “GCC” means the use of GCC’s nested functions. The “XCC” means the use of XC-Cube, which is an extended C language with some primitives added for safe and efficient shared memory programming.23) XC-Cube also features nested functions with lightweight closures,21),22) which are implemented at the assembly language level by modifying GCC directly. The “CL-SC” (closure SC) means the use of nested functions with non-lightweight closures, whose implementation will be reported by a separate paper.
Fig. 18 The LW-SC program of QSort (calling the sorting function by passing a nested function \texttt{comp-mod} as a comparator).

is almost the same as LW-SC except that all local variables and parameters are stored into the explicit stack.

Since nested functions are created frequently in \texttt{fib(34)}, LW-SC shows good performance on SPARC, compared to GCC where the cost of flushing instruction caches is significant. On the other hand, LW-SC shows not so good performance on Pentium 4 where overhead with additional operations in LW-SC is emphasized.

Since several local variables can get callee-save registers in BinTree, LW-SC shows good performance on SPARC, even if function calls (i.e. creations) are infrequent. This effect is not so significant in \texttt{fib(34)} since there is few local variable accesses in the \texttt{fib} function.

LW-SC does not show good performance in nqueens(13) since unimportant variables are allocated to registers. Since Pentium 4 has only a few callee-save registers and performs explicit save/restore of callee-save registers which is implicit with SPARC's register window, the penalty of wrong allocation is serious.

XC-Cube shows better performance than LW-SC mainly because it does not employ some of additional operations in LW-SC, for example checking flags after returning from ordinary functions and at the beginning of function bodies (by using assembly-level techniques such as modifying return addresses). However, the difference is negligibly small if the body of a function is sufficiently large.

CL-SC shows worse performance than LW-SC since all local variables and parameters are stored in the explicit stack and they never get registers.

6.2 Invocation Cost

To measure the cost of invoking nested functions, we employ the following programs:

**QSort** sorts 200,000 integers by the quick sort algorithm invoking a nested function as a comparator, whose owner is the caller of the sorting function (Fig. 18). In the plain C program, the comparison function is defined as the ordinary function where \texttt{d} is declared as a global variable.

**Bin2List (copying GC)** works as the same as Bin2List in Section 6.1, except that the garbage collector actually runs and nested functions are called for scanning the stack (therefore there is no plain C program). The collectors employ a simple breadth-first (non-recursive) copying GC algorithm.

Table 2 summarizes the results of performance measurements. In LW-SC, the invocation cost is high because saving (restoring) the values in the execution stack are necessary upon calling (returning from) nested functions, which causes bad performance in QSort. What is worse is that the cost of invoking a nested function increases depending on the depth of the execution stack at the time of the invocation. To show it clearly, we invoked \texttt{mod-sort} in Fig. 18 on top of various numbers of intermediating function calls (Fig. 17). The result shows the elapsed time increases proportionally to the stack depth only in LW-SC. We think that the cost of throwing an exception to an exception handler may also change with a similar reason.

CL-SC shows good performance in QSort because the unwinding and the reconstructing the execution stack are unnecessary.

Notice that GCC on Pentium shows bad performance in QSort. We guess that this is because trampoline code placed in a writable data area (not a read-only program area) prevents the processor from prefetching instructions.

All implementations show almost the same performance in Bin2List even when only GC times are compared. This is because the invocation costs are negligible relative to the other costs for GC (such as scanning heaps).

These results show that LW-SC works effectively if nested functions are not so frequently called, and that CL-SC works better if they are called very often. Programmers and compiler writers can choose one of these implementations depending on their situation.

7. Related Work

7.1 Compiler-Based Implementations of Nested Functions

As described above, GCC also features nested function but it is less portable and takes high maintenance/creation costs. XC-Cube imple-
Table 2 Performance measurements (for the invocation cost).

<table>
<thead>
<tr>
<th></th>
<th>Elapsed Time in seconds</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>C</td>
</tr>
<tr>
<td>QSort (200,000)</td>
<td></td>
</tr>
<tr>
<td>SPARC</td>
<td>0.795</td>
</tr>
<tr>
<td>(Ratio to C)</td>
<td>(1.00)</td>
</tr>
<tr>
<td>Pentium</td>
<td>0.139</td>
</tr>
<tr>
<td>(Ratio to C)</td>
<td>(1.00)</td>
</tr>
<tr>
<td>Bin2List</td>
<td></td>
</tr>
<tr>
<td>copying</td>
<td></td>
</tr>
<tr>
<td>SPARC</td>
<td>0.495</td>
</tr>
<tr>
<td>(GC time)</td>
<td>0.278</td>
</tr>
<tr>
<td>Pentium</td>
<td></td>
</tr>
<tr>
<td>GC</td>
<td></td>
</tr>
<tr>
<td>(GC time)</td>
<td>0.248</td>
</tr>
<tr>
<td></td>
<td>0.0647</td>
</tr>
</tbody>
</table>

Fig. 17 Elapsed time in QSort against the number of intermediating function calls.

ments nested functions with lightweight closures by modifying the GCC compiler. It shows better performance, but it also lacks portability.

7.2 Closure Objects in Modern Languages

Many modern languages such as Lisp and ML implement closures as first class objects. Those closure objects are valid after exit of their owner blocks. In most implementations they require some runtime supports such as garbage collection, which makes it C too inefficient to be used as an intermediate language to implement high-level languages.

7.3 Portable Assembly Languages

C++ also has an ability to access the variables sleeping in the execution stack by using the C++ runtime system to perform “stack walk”. We expect that its efficiency is better than LW-SC, and almost equal to XC-Cube. In terms of portability, LW-SC has an advantage that we can use pre-existing C compilers.

7.4 High-Level Services

This section lists high-level services which are important applications of nested functions and their implementation techniques in previous work.

7.4.1 Garbage Collection

To implement garbage collection, the collector needs to be able to find all roots, each of which holds a reference to an object in the garbage-collected heap. In C, a caller’s pointer variable may hold an object reference, but it may be sleeping in the execution stack until the return to the caller. Even when using direct stack manipulation, it is difficult for the collector to distinguished roots from other elements in the stack. For this reason, conservative collectors are usually used. Conservative copying collectors can inspect the execution stack but cannot modify it. Accurate copying GC can be performed by using translation techniques based on “structure and pointer” with higher maintenance costs.

Fig.15 partially shows how scanning roots can be implemented using nested functions. getmem allocates a new object in heap and may invoke the copying collector with nested function scan1. The copying collector can indirectly call scan1 which performs the movement (copy) of objects using roots (x, rest, a and kv) and indirectly calls scan0 in a nested man-
The actual entity of scan0 may be another instance of scan1 in the caller. The nested calls are performed until the bottom of the stack is reached.

### 7.4.2 Capturing/Restoring Stack State

Porch\(^{16}\) is a translator that transforms C programs into C programs supporting portable checkpoints. Portable checkpoints capture the state of a computation in a machine-independent format that allows the transfer of computations across binary incompatible machines. They introduce source-to-source compilation techniques for generating code to save and recover from such portable checkpoints automatically. To save the stack state, the program repeatedly returns and legitimately saves the parameters/local variables until the bottom of the stack is reached. During restoring, this process is reversed. Similar techniques can be used to implement migration and first-class continuations.

As shown in Fig. 16, the stack state can be captured without returning to the callers using nested functions. It uses similar techniques with the ones for scanning roots described above.

### 7.4.3 Multi-Threads: Latency Hiding

Concert,\(^{13}\) OPA\(^{20}\) use similar translation techniques to support suspension and resumption of multiple threads on a single processor with a single execution stack (e.g., for latency hiding). They create a new child thread as an ordinary function call and if the child thread completes its execution without being blocked, the child thread simply returns the control to the parent thread. But in case of the suspension of the child thread, the C functions for the child thread legitimately saves its (live) parameters/local variables into heap-allocated frames and simply returns the control to the parent thread. When a suspended thread becomes runnable, it may legitimately restore necessary values from the heap-allocated frames.

The library implementation of StackThreads\(^{19}\) provides special two service routines: `switch_to_parent` to save the context (state) of the child thread and transfer the control to the parent thread, and `restart_thread` to restore the context and transfer the control to the restarted thread. These routines are implemented in assembly languages by paying special attention to the treatment of callee-save registers.

StackThreads/MP\(^{18}\) allows the frame pointer to walk the execution stack independently of the stack pointer. When the child thread is blocked, it can transfer the control to an arbitrary ancestor thread without copying the stack frames to heap. StackThreads/MP employs the unmodified GNU C compiler and implements non-standard control-flows by a combination of an assembly language postprocessor and runtime libraries.

Lazy Threads\(^{5}\) employ a similar but different approach to frame management and thread suspension. Frames are allocated in “stacklet”, which is a small stack for several frames. A blocked child thread returns the control to the parent without copying the stack frame to heap. When the parent is not at top of the stacklet, it first allocates a new stacklet for allocating a stack frame. Lazy Threads are implemented by modifying the GNU C compiler.

The implementation techniques for multiple threads using nested functions are shown in 8), 17).

### 7.4.4 Load Balancing

To realize efficient dynamic load balancing by transferring tasks among computing resources in fine-grained parallel computing such as search problems, load balancing schemes which lazily create and extract a task by splitting the present running task, such as Lazy Task Creation (LTC),\(^{12}\) are effective. In LTC, a newly created thread is directly and immediately executed like a usual call while (the continuation of) the oldest thread in the computing resource may be stolen by other idle computing resources. Usually, the idle computing resource (thief) randomly selects another computing resource (victim) for stealing a task.

Compilers (translators) for multithreaded languages generate low-level code. In the original LTC,\(^{12}\) assembly code is generated to directly manipulate the execution stack. Both translators for Cilk\(^4\) and OPA\(^{20}\) generate C code. Since it is illegal and not portable for C code to directly access the execution stack, the Cilk and OPA translators generate two versions (fast/slow) of code; the fast version code saves values of live variables in a heap-allocated frame upon call (in the case of Cilk) or return (in the case of OPA) so that the slow version code can continue the rest of computation based on the heap-allocated saved continuation.

A message passing implementation\(^3\) of LTC employs a polling method where the victim detects a task request sent by the thief and re-
turns a new task created by splitting the present running task. This technique enables OPA, StackThreads/MP, and Lazy Threads to support load balancing.

We restructure LTC with backtracking, where callers’ variable are accessed by using nested functions for infrequent task creation.

8. Conclusion and Future Work

This paper has presented a technique to implement nested functions for the C language, employing the SC language system. Since the implementation is transformation-based, it enables us to implement high-level services with “stack walk” in a portable way. Furthermore, such services can be efficiently implemented because we aggressively reduce the cost of creating and maintaining nested functions using “lightweight” closures. Future work includes actually implementing high-level languages with such services (e.g., providing a garbage collected heap with a copying collector).

Acknowledgments

This work was partly supported by the Japan Society for the Promotion of Science (JSPS) the 21st Century COE Program (Grant No. 14213201) and MEXT Grant-in-Aid for Exploratory Research (17650008).

References

Appendix

A.1 An example of translation from LW-SC into SC-0

;;; The pointer to the moved "nested function".
(deftype nestfn-t
  (ptr (fn (ptr char) (ptr char) (ptr void))))

;;; The structure which contains the pointer to the moved
;;; nested function and the frame pointer of
;;; the owner function.
(deftype closure-t struct
  (def fun nestfn-t)
  (def fr (ptr void)))

(deftype align-t double)

;;; The auxiliary function for calling nested functions.
(def (lw-call esp) (fn (ptr char) (ptr char))
  (def clos (ptr closure-t)
    (mref (cast (ptr (ptr closure-t)) esp)))
  (return ((fref clos -> fun) esp ((fref clos -> fr) esp))))

;;; The frame structure of function h.
(def (struct h_frame)
  (def tmp-esp (ptr char))
  (def argp (ptr char))
  (def call-id int)
  (def tmp2 int)
  (def tmp int)
  (def g (ptr closure-t))
  (def i int))

(def (h esp i g)
  (fn int (ptr char) int (ptr closure-t))
  (def argp (ptr char))
  (def efp (ptr (struct h_frame)))
  (def new-esp (ptr char))
  (def esp-flag size-t (bit-and (cast size-t esp) 3))
  (def tmp2 int)
  (def tmp_fp (ptr closure-t))
  (def tmp_fp2 (ptr closure-t))

  ;; Judge whether reconstruction of the execution stack is
  ;; required or not.
  (if esp-flag
      (begin
        (esp (cast (ptr char)
          (bit-xor (cast size-t esp) esp-flag)))
        (efp (cast (ptr (struct h_frame)) esp))
        ;; Move the stack pointer by the frame size.
        (esp (cast (ptr char)
          (+ (cast (ptr align-t) esp)
            (+ (sizeof (struct h_frame))
              (sizeof align-t) -1))
            (sizeof align-t))))
      (mref (cast (ptr (ptr closure-t)) esp))
      ;; Move the stack pointer by the frame size.
      (esp (cast (ptr char))
        (+ (cast (ptr align-t) esp)
          (+ (sizeof (struct h_frame))
            (sizeof align-t) -1))
            (sizeof align-t))))
      (mref (cast (ptr (ptr closure-t)) esp))
      ;; Move the stack pointer by the frame size.
      (argp (cast (ptr char))
        (+ (cast (ptr align-t) argp)
          (+ (sizeof (struct h_frame))
            (sizeof align-t) -1))
            (sizeof align-t))))
      ;; Push the execution stack.
      (exp (cast (ptr closure-t) esp))
      ;; Save the values of local variables to the frame.
      (argp (cast (ptr char))
        (- (cast int 0) 1))
      ;; Continue the execution from here after the func-
      ;; tion call finishes.
      (label l_CALL nil))
  ;; Call the nested function g.
  (begin
    (= tmp_fp g)
    (= argp
      (cast (ptr char))
        (+ (cast (ptr align-t) esp)
          (+ (sizeof (struct h_frame))
            (sizeof align-t) -1))
            (sizeof align-t))))
    ;; Push the structure object that corresponds to
    ;; the frame of the nested function to
    ;; the explicit stack.
    (argp (cast (ptr closure-t) argp))
    (= esp
      (cast (ptr closure-t) esp))
    (= (eref esp -> tmp2) tmp2)
    (= (eref esp -> tmp) tmp)
    (= (eref esp -> g) g)
    (= (eref esp -> i) i)
    (= (eref esp -> argp) argp)
    (= (eref esp -> tmp-esp) argp)
    ;; Save the current execution point.
    (= (eref esp -> call-id) 0)
    (return (- (cast int 0) 1))
    ;; Continue the execution from here after the func-
    ;; tion call finishes.
    (label l_CALL nil))
;; Restore local variables from the explicit stack.
(* tmp2 (fref efp -> tmp2))
(* tmp (fref efp -> tmp))
(* g (fref efp -> g))
(* i (fref efp -> i))
;; Get the return value.
(* tmp (mref (cast (ptr int) (fref efp -> argp)))))
;; Call the nested function g.
(begin
(* tmp_fp2 g)
(* argp (cast (ptr int) (fref efp -> argp)))
(* g (fref efp -> g))
(* i (fref efp -> i))
;; Get the return value.
(* tmp (mref (cast (ptr int) argp)))))
;; Call the ordinary function h.
;; Judge whether reconstruction of the execution stack is required or not.
(if esp-flag
(begin
(* tmp (mref (cast (ptr int) argp)))))
;; Pop parameters from the explicit stack.
(def b int
(exps
(* parmp (cast (ptr char)
(- (cast (ptr align-t) parmp)
(/ (+ (sizeof int) (sizeof align-t) -1)
(sizeof align-t)))))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Move the stack pointer by the frame size.
(* esp (cast (ptr char) esp)))
;; Restore the execution point.
(switch (fref (mref efp) call-id)
(case 0) (goto l_CALL3)))
;; Move the stack pointer by the frame size.
Save the values of local variables to the frame.

(!= (= (fref efp -> tmp-esp) (mref (cast (ptr (ptr char)) esp))) 0))

Save the current execution point.

(def goto-fr (ptr char))

Continue the execution from here after the function call finishes.

Save the current execution point.

Return (- (cast int 0) 1))

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